

# Midterm 2

Due Wednesday, April 20<sup>th</sup>

Please do at least 5 problems in total, including one from each section. If you do more than 5, please indicate the 5 you would like us to grade first; if one of those 5 is badly wrong, we will replace it with another from the same section.

## 1 Models

Recall this definition from the practice exam:

**Definition 1.** If  $\mathcal{A}$  is a  $\sigma$ -structure, we say  $B \subseteq A$  is a substructure if:

- For each constant  $c \in C_\sigma$ ,  $c_{\mathcal{A}} \in B$
- For each  $n$ -ary function  $f \in F_\sigma$  and  $b_1, \dots, b_n \in B$ ,  $f_{\mathcal{A}}(b_1, \dots, b_n) \in B$

In this case, we make  $B$  is a  $\sigma$ -structure  $\mathcal{B}$ , interpreting functions and relations like in  $\mathcal{A}$ . Explicitly:

- For  $c \in C_\sigma$ ,  $c_{\mathcal{B}} = c_{\mathcal{A}}$
- For  $f \in F_\sigma$ ,  $f_{\mathcal{B}}(b_1, \dots, b_n) = f_{\mathcal{A}}(b_1, \dots, b_n)$
- For  $R \in R_\sigma$ ,  $R_{\mathcal{B}} = \{(b_1, \dots, b_n) \in B^n \mid (b_1, \dots, b_n) \in R_{\mathcal{A}}\}$

1. Let  $\mathcal{B} \subseteq \mathcal{A}$  be a substructure, and  $s: V \rightarrow B$  be a  $\mathcal{B}$ -valued assignment function. Since  $B \subseteq A$ , we can view  $s$  as an  $\mathcal{A}$ -valued assignment function as well, which we will call  $s'$  (formally,  $s' = \iota \circ s$ , where  $\iota$  is the inclusion function from  $B$  to  $A$ ).

Show that for any  $\sigma$ -term  $\tau$ ,  $\bar{s}(\tau) = \bar{s}'(\tau)$

2. Suppose  $\sigma$  is algebraic,  $T$  is an equational theory, and  $\mathcal{A} \models T$ . Let  $\mathcal{B}$  be a substructure of  $\mathcal{A}$ . Show that  $\mathcal{B} \models T$  (you may assume problem 1)

Recall that a signature is algebraic if it contains no relations, and a theory is equational if every  $\varphi$  in  $T$  looks like:

$$\forall x_{i_1} \forall x_{i_2} \dots \forall x_{i_n} (\tau_1 = \tau_2)$$

for some  $\sigma$ -terms  $\tau_1$  and  $\tau_2$ .

## 2 Deductions

3. Let  $\sigma$  be the signature with one unary relation  $P$ . Give a formal deduction to show  $\forall x Px \vdash \neg \forall y \neg Py$  (do not quote meta-theorems)

Remark: To avoid a problem some of you pointed out previously, I recall that our deductive system always assumes structures are non-empty to avoid pathologies.

4. Let  $\sigma = (a, b, \cdot)$ , where  $a$  and  $b$  are constants, and  $\cdot$  is a binary function. Let  $T$  be the theory asserting  $a$  and  $b$  are left and right identities for  $\cdot$ :

1.  $\forall x(x \cdot a = x)$
2.  $\forall x(a \cdot x = x)$
3.  $\forall x(x \cdot b = x)$
4.  $\forall x(b \cdot x = x)$

Show that  $T \vdash a = b$ . This one is a bit longer, so you can quote meta-theorems or results from class if you'd like.

## 3 Soundness and Completeness

To avoid repetition, let  $\sigma_{part} = (P, Q)$ , two unary relations, and  $T_{part}$  is the theory of a set partitioned into disjoint sets  $P$  and  $Q$ ; that is,

$$T_{part} = \{\forall x(Px \vee Qx), \quad \forall x \neg(Px \wedge Qx)\}$$

5. Consider the  $\sigma_{part}$  theory  $T$  defined as follows:

Let  $\alpha_n$  be the statement  $\exists x_1 \exists x_2 \dots \exists x_n (Px_1 \wedge \dots \wedge Px_n \wedge [\text{no two } x_i \text{'s are equal}])$

Let  $\beta_n$  be the statement  $\exists x_1 \exists x_2 \dots \exists x_n (Qx_1 \wedge \dots \wedge Qx_n \wedge [\text{no two } x_i \text{'s are equal}])$

Finally, let  $T = T_{part} \cup \left( \bigcup_{n \in \mathbb{N}} \{\alpha_n, \beta_n\} \right)$

- (a) Show that  $T$  is consistent
- (b) Show that  $T$  is complete

- (c) Let  $\omega_1$  be the smallest uncountable cardinal (that is, for any infinite  $A \subseteq \omega_1$ ,  $|A| = |\mathbb{N}|$  or  $|A| = |\omega_1|$ ). Up to isomorphism, how many models does  $T$  have of cardinality  $\omega_1$ ?

6. Let  $\sigma = (P, Q, \leq)$ . Consider the following theory:

$$\begin{aligned}
 T = & T_{part} && \cup \\
 & T_{dlo} && \cup \\
 & \{\forall x \exists y \exists z (Py \wedge Pz \wedge y < x \wedge x < z)\} && \cup \\
 & \{\forall x \exists y \exists z (Qy \wedge Qz \wedge y < x \wedge x < z)\}
 \end{aligned}$$

(where  $x < y$  is shorthand for  $x \leq y \wedge \neg(x = y)$ )

- (a) Show  $T$  is consistent
- (b) Show  $T$  is not complete  
 Hint: Show the statement  
 $\forall x \forall y ((x < y \wedge Px \wedge Py) \rightarrow \exists z (Pz \wedge x < z \wedge z < y))$   
 can't be proved or disproved
- (c) (Hard and optional) Find some axioms you can add to  $T$  to make  $T$  complete and consistent

7. The goal of this problem is to show connected graphs are not axiomatizable (in the signature with one binary edge relation). I don't care how you do this, but I'll suggest two methods. Use whichever one makes more sense to you.

- (a) Let  $G$  be the graph with vertices  $\mathbb{N}$  and edges  $\{n, n + 1\}$  (so, an infinite stick in one direction)
- Let  $T$  be the complete theory of  $G$  (that is, the set of true statements in  $G$ ). Show  $T$  has a model other than  $G$  (use compactness to add a new element)
  - Show that any model of  $T$  other than  $G$  is disconnected (show that any new elements don't have a path connecting them to 0)
  - If  $\Sigma$  axiomatized connected groups, show  $\Sigma \subseteq T$ , and therefore  $\Sigma$  has a disconnected model
- (b) Let  $\sigma^+$  be the signature  $(E, a, b)$ , where  $a$  and  $b$  are constants
- Give a  $\sigma^+$  theory  $T$  axiomatizing "graphs with no path connecting  $a$  and  $b$ "

- ii. Show that every finite subset of  $T$  is satisfiable in a connected graph.
- iii. If  $\Sigma$  axiomatized connected graphs, show that every finite subset of  $\Sigma \cup T$  has a model. Conclude by compactness that  $\Sigma \cup T$  has a model, which is both connected and disconnected.